



iMeta Credit & Legal

Proven



Proven: Trusted by the biggest names in global banking and finance, our clients include 6 international top tier investment banks

Expert



Expert: Our team has over eight years of experience executing large scale global data management projects

Reliable



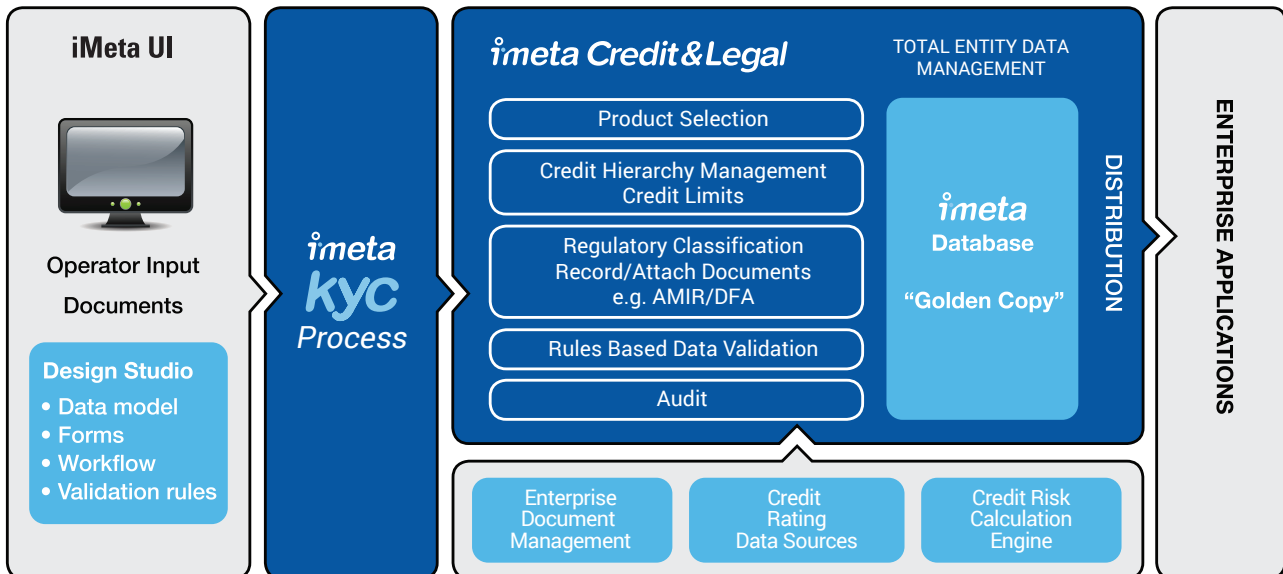
Reliable: iMeta consolidates the credit, legal and operations data into a central hub, ensuring legal and regulatory obligations are adhered to

iMeta Credit & Legal allows financial institutions to create rules and workflows which support the onboarding of a client; along with the products and asset classes appropriate to the client for its trading relationship. This includes rules to support regulations such as MiFID, DFA and EMIR, and the capability to track that the correct legal contracts are in place, such as ISMA Agreements relating to the products traded and client classifications. Furthermore, iMeta Credit & Legal supports the credit process; enabling the set up and maintenance of credit limits and the creation of credit hierarchies. iMeta is designed specifically to provide transparency for the financial organisation with regards to the entities where credit risk resides. In order to comply with legislation covering OTC

derivatives, the platform supports the ability for a fund or credit entity to be represented independently of its manager. Workflows are configurable to facilitate interactions required by multiple teams and stakeholders. This module centralises the credit and legal process to ensure it builds on the 'golden source' of client and entity data; thus improving data quality throughout the organisation, whilst achieving compliance with internal obligations and external regulations. iMeta Credit & Legal software forms part of the iMeta CLM Suite, and when combined with our iMeta KYC and iMeta SSI modules, an end to end solution is realised. This gives financial institutions control and visibility into the set up and route to trade, throughout the whole client lifecycle.

iMeta Credit & Legal will enable you to:

- Enforce documentation requirements based on trading relationships and product information
- Store related digital documents and collateral centrally for easy access
- Visualise and build complex credit hierarchy relationships; including obligor and guarantor information, on top of legal hierarchies built during KYC
- Integrate with credit rating agencies to help build a credit risk profile across the credit hierarchy
- Track entity cases and monitor status via transparent workflow management tools



How iMeta Credit & Legal can benefit your organisation:

Improved visibility and risk management:

The use of a single system to manage and carry out credit and legal tasks gives improved visibility and control of your overall client onboarding status, and can help you improve your client's onboarding experience as a result. Creation of hierarchies that link to the real underlying entities allows for greater transparency and reporting.

Tailored to fit:

iMeta Credit & Legal offers flexibility for customisation in key areas; to import documentation, validate and process workflow – delivering immediate business benefits when managing your entity data.

Flexible integration:

Supporting a range of integration approaches means that iMeta Credit & Legal can be delivered using your existing infrastructure. The platform integrates with both entity onboarding, KYC and settlement systems, plus a variety of external data sources; therefore achieving automated straight-through processing.

Standardised processes:

With iMeta CLM as a central repository, the process for managing credit, legal, onboarding and KYC is consolidated and simplified into a single location where you can manage all your data.

High quality data:

iMeta supports complete validation that can enforce requirements for the correct documentation set; based on jurisdiction, product and client classification. The validation rules are configurable and therefore flexible to meet changing regulatory requirements in the future.

Accurate single source:

Having legal and credit data in a single repository with other entity data ensures a 'golden copy' is maintained across all departments. This reduces the overheads of duplicate maintenance and repair; ensuring a single, up to date source can be viewed at all times across the organisation.