imeta

Onboarding and Client Lifecycle Management Platform for Financial Institutions

(Diagram showing iMeta CLM platform with concentric rings labeled: Screening Tools, iMeta Connectivity, iMeta Legal Entity Manager, iMeta Connectivity, Credit Data, Entity Data Sources, ID Verification, SSI Data Sources, iMeta Connectivity, Internal Settlement & Accounts 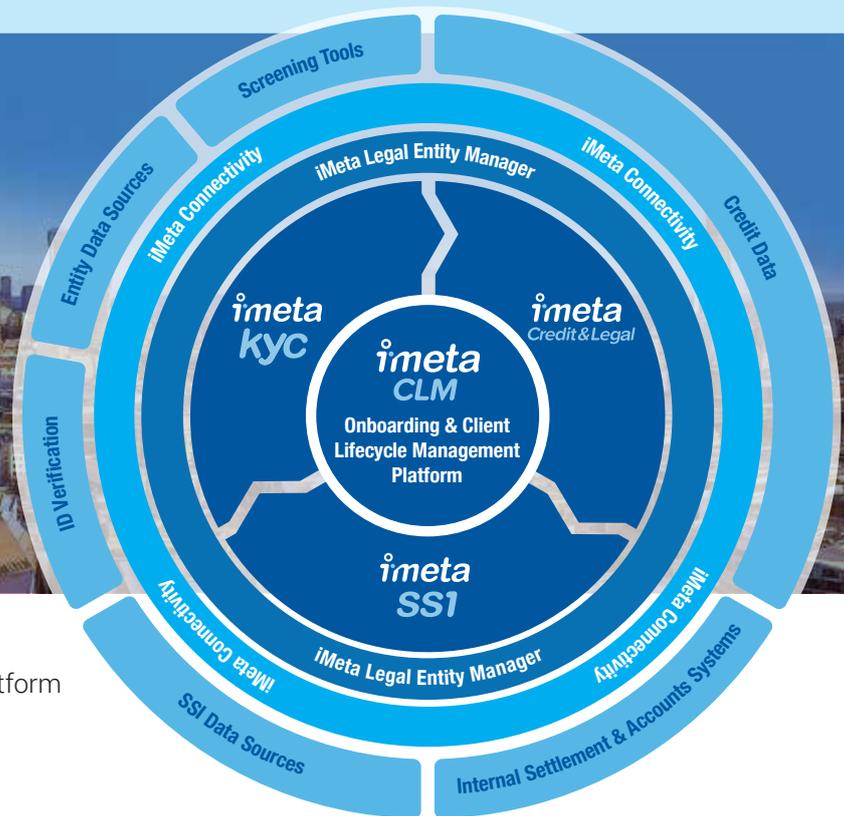Systems. Center modules: imeta kyc, imeta Credit & Legal, imeta CLM — Onboarding & Client Lifecycle Management Platform, imeta SSI.)

iMeta CLM is a flexible, modular platform; so you can invest in the elements of the platform that solve your business needs.

iMeta CLM consists of:

iMeta KYC – Enables financial institutions to meet the ever changing regulatory needs for KYC compliance, from initial onboarding through to rolling due diligence. Capable of handling rules for multiple jurisdictions; iMeta KYC enforces the firm's risk based policies. Supporting KYC and anti-money laundering regulations, OTC regulations such as Dodd Frank and EMIR, and taxation such as FATCA; it provides a full audit of the process with the associated documentation required to complete KYC, together with workflows to support the interaction between the different teams and stakeholders involved.

iMeta Credit & Legal – Supports the Credit team by enforcing rules and workflows to build out credit hierarchies. This includes the facility to insert and store the credit entity for a fund, independently of the fund manager. Credit limits can therefore be set with an understanding of where any credit risk lies, based on the product set and hierarchy. iMeta Credit & Legal also ensures the correct data and document requirements can be enforced to comply with relevant regulations such as MiFIDII, DFA, EMIR, MAS etc.

iMeta SSI – Provides banks and broker/dealers with a central repository of standing settlement instruction data that minimises operational risks and trade breaks through comprehensive and flexible data validation. With a certified interface to Omgeo ALERT, it significantly reduces account set up time and the overhead of ongoing data maintenance. iMeta SSI also provides full support for manually entered SSIs, and SSI's sourced from other repositories.

iMeta Legal Entity Manager – Enables the financial firm to source and maintain data on the entities they need to support their business activities from an operational and regulatory perspective. iMeta Legal Entity Manager leverages the power of iMeta Connectivity to obtain and aggregate data automatically from multiple data sources. Providing a fully configurable data model and data quality rules, together with a rich user interface and configurable workflows, it supports the manual sourcing and exception management activities required for data maintenance. These modules provide a full audit trail to support data provenance and lineage. iMeta Legal Entity Manager is able to act as master or slave to existing in-house systems.

iMeta Connectivity – A data integration layer that firms can use to connect their in-house systems to a variety of industry and vendor client and entity data sources; such as Avox, Dun & Bradstreet, Bureau Van Dijk, and kyc.com. It leverages a configurable rules and workflow engine that can consume and validate legal entity data and associated documents. iMeta Connectivity can also be used to aggregate data from many sources, based on conditional rules if required. The solution can utilise multiple sources of data, whilst ensuring data quality; thereby empowering firms with accurate and streamlined data and straight through processing (STP) to support both business and regulatory processes.

Client Onboarding: Designed with your Business in Mind

iMeta CLM is a data management and workflow platform for client onboarding and lifecycle management, which has been designed from the ground up with the needs of financial organisations in mind.

Your Challenges:

Your business exists in a heavily regulated environment, which is rapidly evolving with a steady stream of new regulations requiring implementation. Therefore you need a data management solution that is proven in this environment and can respond and adapt with you. iMeta CLM fits this requirement: It has a highly flexible data model and business rules engine that can be configured by you to add new data fields and rules when they are needed; allowing you to comply with new classification requirements, new regulatory requirements and to support the changes in your business as it grows.

Financial firms are often managing complex business relationships and are striving to provide their clients with a professional and enjoyable experience in all their interactions. iMeta CLM is designed to support the needs of front, middle and back office to deliver a positive client experience:

- Its configurable workflow with key point notifications and flexible integration means that it can provide sales with the visibility and speed they require, without having to introduce yet another system into their already complex trading architecture.
- The intuitive UI design, interactive business rules and workflow will support your back office operational staff with their tasks; leading to and ensuring a proficient process for your clients by removing the multiple touch points and delays that frustrate them.
- The powerful business rules engine and auditing functionality provides Risk and Compliance teams with the assurance that the configured rules are enforcing their KYC and AML policies, and that these are being consistently applied throughout the whole client engagement processes.

Coverage for financial institutions may be domestic, cross jurisdictional or global, and involves a range of markets from cash equities, commodities, FX and derivatives. A system such as iMeta CLM is therefore needed to understand the correct set of regulatory regimes required, and that can comply with and be configured to cover the relevant requirements under MiFID, DFA, EMIR, MAS etc.

Your Data

Due to current regulation pressures, many financial firms are turning to external data vendors to improve the quality of their entity data and ensure further operational efficiencies. iMeta CLM already has existing connections to some of the industry data utilities such as Omgeo ALERT and Markit kyc.com and to data vendors such as Avox, Dun & Bradstreet, and Bureau Van Dijk. Our platform capabilities will ensure any data brought into the system from external providers or through user entry is fully recorded with provenance, and all data is run through the business rules to ensure quality and adherence to your policies.

We know that your data is key to driving your business strategy and growth. iMeta CLM's data model is designed with an understanding of the domain in which it will operate. It can be configured to match your specific business model and contains legal entity focused information with task and audit data. Consequently, you will have access to a powerful, detailed data set that can be mined for the relevant information required to make insightful business decisions, or to assess the impact of new regulations on your existing client base.

Your Business

You don't want to re-engineer your business process to fit in with a software solution. Therefore, iMeta CLM is designed as a flexible modular platform; where you can invest in the elements of the platform that solve your business needs. The platform can interface with a broad range of internal systems to plug in at the appropriate point, for receiving information and distributing your client data within the company. It can be provided as a pure integration layer tool to help you with your connectivity to internal or external data sources (iMeta Connectivity), or as a fully deployed end to end system. The configurable workflows can be designed to fit with your team structure and task flow; to ensure a seamless experience as your team's transition onto the platform.